

CollegeAdvantage Direct 529 Savings Plan Agent Authorization/Limited Power of Attorney



- Complete this form to designate a Financial Advisor (*as defined in the CollegeAdvantage Direct 529 Savings Plan Offering Statement and Participation Agreement*) or an individual as your Agent with limited authority to act on your CollegeAdvantage Direct 529 Savings Plan (CollegeAdvantage Direct) Account(s). To grant an Agent complete powers to act on your CollegeAdvantage Direct Account(s), please complete the **Power of Attorney Form**.
- You may only designate one level of authorization in **Section 3** for the Account(s) listed on this form. To grant a different level of authorization for your other Account(s), please complete a separate form.
- This **Agent Authorization/Limited Power of Attorney Form** must be signed by the Account Owner and notarized in **Section 4**.
- If there is anything about this form that you do not understand, you should consult your own attorney to explain it to you.
- Type in your information and print out the completed form, or print clearly, preferably in capital letters and black ink. Mail the form to the address below. Do not staple.

Forms can be downloaded from our website at www.CollegeAdvantage.com, or you can call us to order any form—or request assistance in completing this form—at **1-800-AFFORD-IT** (233-6734) Monday through Friday from 8:30 a.m. to 6 p.m. Eastern Time.

Return this form and any other required documents to:

CollegeAdvantage Direct 529 Savings Plan
P.O. Box 219305
Kansas City, MO 64121-9305

For overnight delivery or registered mail, send to:

CollegeAdvantage Direct 529 Savings Plan
920 Main Street, Suite 900
Kansas City, MO 64105

NOTICE: UNLESS YOU LIMIT THE POWER IN THIS DOCUMENT, THIS DOCUMENT GIVES YOUR AGENT THE POWER TO ACT FOR YOU, WITHOUT YOUR CONSENT, IN ANY WAY THAT YOU COULD ACT FOR YOURSELF. THE POWERS GRANTED BY THIS DOCUMENT ARE BROAD AND SWEEPING. THEY ARE EXPLAINED IN CHAPTER 1337 OF THE OHIO REVISED CODE. IF YOU HAVE ANY QUESTIONS ABOUT THESE POWERS, OBTAIN COMPETENT LEGAL ADVICE. YOU MAY REVOKE THIS POWER OF ATTORNEY IF YOU LATER WISH TO DO SO.

THE PURPOSE OF THIS POWER OF ATTORNEY IS TO GIVE THE PERSON YOU DESIGNATE (YOUR "AGENT") LIMITED POWERS TO HANDLE YOUR ACCOUNTS WITH THE COLLEGEADVANTAGE DIRECT 529 SAVINGS PLAN, WHICH MAY INCLUDE POWERS TO MAKE INVESTMENT DECISIONS, CONTRIBUTIONS, WITHDRAWALS, AND TAKE OTHER ACTION IN CONNECTION WITH THE COLLEGEADVANTAGE DIRECT 529 SAVINGS PLAN WITHOUT ADVANCE NOTICE TO YOU OR APPROVAL BY YOU. THIS FORM DOES NOT IMPOSE A DUTY ON YOUR AGENT TO EXERCISE GRANTED POWERS; BUT WHEN POWERS ARE EXERCISED, YOUR AGENT MUST USE DUE CARE TO ACT FOR YOUR BENEFIT AND IN ACCORDANCE WITH THE PROVISIONS OF THIS FORM AND MUST KEEP COMPLETE RECORDS OF ALL TRANSACTIONS ENTERED INTO AS YOUR AGENT UNTIL YOU REVOKE THIS POWER OF ATTORNEY OR A COURT ACTING ON YOUR BEHALF TERMINATES IT. YOUR AGENT MAY EXERCISE THE POWERS GIVEN HERE THROUGHOUT YOUR LIFETIME, EVEN AFTER YOU BECOME DISABLED.

YOU MAY HAVE OTHER RIGHTS OR POWERS UNDER OHIO LAW NOT SPECIFIED IN THIS FORM.



1. Account Owner information

Social Security Number or Taxpayer Identification Number (Required)

Account Number (List all that apply. To list more than three Accounts, use a separate copy of this page)

Account Number input fields (three rows of boxes)

Telephone Number (In case we have a question about your Account)

2. Agent information

Relationship of Agent to Account Owner (Check one.)

Financial Advisor Other (Provide Social Security Number or other Tax ID Number)

Name of Agent (first, middle initial, last)

Financial Advisor Firm Name (If applicable)

Financial Advisor ID Number (If applicable)

Mailing Address

City State Zip Code

Telephone Number

SIGNATURE Signature of Agent

Date (mm/dd/yyyy)

3. Authorization level

I, the Account Owner listed in **Section 1**, appoint the Agent listed in **Section 2**, as my Agent (*please initial the appropriate level of access that applies to the Account(s) listed in Section 1*).

Note: If you have more than one Account and you wish to designate different levels of access for your different Account(s), complete a separate form for each Account.

Initial

Level 1—Account Inquiry Access. To obtain information about my Account(s) and receive duplicate Account statements from CollegeAdvantage Direct.*

Initial

Level 2—Account Inquiry Access, Contributions, and Exchanges. To obtain information about my Account(s) and receive duplicate Account statements from CollegeAdvantage Direct. To contribute money to the above-referenced Account(s) and to move money among Investment Options within each of the above-referenced Account(s).*

Initial

Level 3—Account Inquiry Access, Contributions, Exchanges, and Withdrawals. To obtain information about my Account(s) and receive duplicate Account statements from CollegeAdvantage Direct. To contribute money to the above-referenced Account(s) and to move money among Investment Options within each of the above-referenced Account(s). To withdraw, now or in the future, money from the above-referenced Account(s).*

* The authority granted herein is limited to the level of authority specified above. My Agent shall have no authority to take any other action, including, but not limited to:

- Changing the address of record on my Account(s),
- Adding, deleting, or changing any banking information with respect to my Account(s),
- Changing the Beneficiary,
- Signing or e-signing an Account application or otherwise opening a new Account on my behalf, or
- Transferring assets to a new Account.

