

# CollegeAdvantage Direct 529 Savings Plan Automatic Investment Plan/ Electronic Bank Transfer Form



- Complete this form to start, change, or stop an automatic investment from your bank account, or to add or change bank account information. Complete and submit a separate form for each Account you own in the CollegeAdvantage Direct 529 Savings Plan (CollegeAdvantage Direct).
- You can also start, change, or stop an Automatic Investment Plan (AIP) and Electronic Bank Transfer (EBT) by accessing your Account online at **www.CollegeAdvantage.com**.
- Your contribution will be invested according to the Future Contribution Allocation Instructions on file for your Account at the time this form is received in good order. You can update your Future Contribution Allocation Instructions online or by completing the Annual Exchange/Future Contribution (Allocation) Form.
- Type in your information and print out the completed form, or print clearly, preferably in capital letters and black ink. Mail the form to the address below. Do not staple.

Forms can be downloaded from our website at **www.CollegeAdvantage.com**, or you can call us to order any form — or request assistance in completing this form — at **1-800-AFFORD-IT** (233-6734) Monday through Friday from 8:30 a.m. to 6 p.m. Eastern Time.

Return this form and any other required documents to:	For overnight delivery or registered mail, send to:
<b>CollegeAdvantage Direct 529 Savings Plan P.O. Box 219305 Kansas City, MO 64121-9305</b>	<b>CollegeAdvantage Direct 529 Savings Plan 920 Main Street, Suite 900 Kansas City, MO 64105</b>

## 1. Account information

Account Number

Name of Account Owner *(first, middle initial, last)*

Telephone Number *(In case we have a question about your Account.)*

Name of Beneficiary *(first, middle initial, last)*



## 2. Update Automatic Investment Plan Instructions and/or Add Electronic Bank Transfer Instructions

- Contributions by AIP or EBT will be unavailable for withdrawal for seven (7) business days following each contribution.

**Note:** AIP is not available for Fifth Third 529 Certificates of Deposit.

A.  **AIP.** You can transfer money from your bank account to your CollegeAdvantage Direct Account on a set schedule.

- Add this option to my Account. (Provide the information below and in **Section 3**.)
- Change my investment amount and/or debit date. (Provide the new amount and/or debit date below.)
- Change my bank account information. (Provide the information in **Section 3**.)
- Stop this option.

**Amount of Debit:** \$       .

**Frequency** (Check one):  Monthly (\$25 minimum)  Quarterly (\$25 minimum)

Semi-Annual (\$25 minimum)  Annual (\$25 minimum)

**Start Date:\***    -    -

Date (mm/dd/yyyy)

\* Your bank account will be debited on this date and your investment will be credited to your CollegeAdvantage Direct Account on the business day before it is debited from your bank account. If you indicate a start date that is within the first four (4) days of the month, there is a chance that your investment will be credited on the last business day of the previous month. If you do not indicate a start date, your bank account will be debited on the 15th of the applicable month. See the CollegeAdvantage Direct 529 Savings Plan *Offering Statement and Participation Agreement* for further information.

**Annual Increase.** You may increase your AIP contribution automatically on an annual basis. Your contribution will be adjusted each year in the month that you specify by the amount indicated.

**Note:** A plan of regular investment cannot assure a profit or protect against a loss.

**Amount of increase:** \$       .

**Month\*\*:**

\*\* Please write out the month you wish your AIP contribution to increase. The first increase will occur at the first instance of the month selected, **which could occur in the current year**. Annual AIP increases are subject to the general contribution limits of the Plan and will also count toward annual federal gift tax exclusion limits.

B.  **EBT.** Through EBT, you can make a one time contribution whenever you want by transferring money from your bank account. We will keep your bank information on file for your convenience for future EBT contributions. To set this up, you must provide bank information in **Section 3**. (The amount below will be a one-time EBT contribution.)

\$       .

Amount (minimum \$25.00)

### 3. Update Bank Information

AIP and EBT(s) can be made only through accounts held by a U.S. bank, savings and loan association, or credit union that is a member of the Automated Clearing House (ACH) network. Money market mutual funds and cash management accounts offered through non-bank financial companies cannot be used.

**Add bank information for future electronic transfers.** We will keep your bank information on file for future EBT contributions. Once bank information is on file, you can initiate a one-time EBT contribution of \$25 or more from your bank account to your CollegeAdvantage Direct Account either by calling us or requesting an EBT online.

Add       Change       Delete

**Important:** By signing this paperwork, you agree and confirm that your use of the Automated Clearing House ("ACH") Network will not result in transfers to or from a financial institution outside the United States. You also understand it is your responsibility to notify CollegeAdvantage if any changes to your status occur that may require funds to be sent to or from a financial institution outside of the United States.

Bank Name

Name on Bank Account

Bank Routing Number

Bank Account Number

Account Type:  
(Check One.)

Checking

Savings

**Note:** The routing number is usually located in the bottom left corner of your checks. You can also ask your bank for the routing number.

### 4. Signature — YOU MUST SIGN BELOW

I hereby authorize the Ohio Tuition Trust Authority (Tuition Trust) to initiate the debit entries to my bank account indicated and the bank indicated to debit the same amount. I also reserve the right to revoke this authorization by written notification to the Ohio Tuition Trust Authority, with reasonable time given to implement my request.

Any investment in a CollegeAdvantage mutual fund-based investment option is not insured or guaranteed by the FDIC or any other governmental agency or other party, including the State of Ohio, the Ohio Tuition Trust Authority, or any of the mutual fund firms under contract with Ohio Tuition Trust Authority. Any investment in a Fifth Third investment option, however, is insured by the Federal Deposit Insurance Corporation (FDIC), up to the limits set by the FDIC. An investment in a CollegeAdvantage mutual fund-based investment option is not a direct investment in a mutual fund itself. Except for the Fifth Third investment options, participants assume all investment risk of an investment in CollegeAdvantage, including the potential loss of principal. Regular investing does not ensure a profit or protect against a loss. The amount actually available for withdrawal will depend on the investment performance of the options chosen during the period funds were invested.

Signature of Account Owner

Date (mm/dd/yyyy)

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