

CollegeAdvantage Direct 529 Savings Plan Account Owner Change Form



INSTRUCTIONS: Use this form to change ownership of your CollegeAdvantage Direct Account assets (new Account Owner).

- In order to process the request to transfer assets from the existing Account to the new Account, assets in the existing Account will be liquidated and the existing Account closed; new assets will be purchased with the proceeds.
- A new CollegeAdvantage Account will be created. If an Account already exists for the Beneficiary under the New Account Owner, assets will be transferred to that existing Account. The New Account Owner will receive confirmation of the ownership change.
- If you have an existing Automatic Investment Plan (AIP) for contributions, it will be stopped. If the New Account Owner wishes to contribute by AIP, he or she will need to submit new AIP instructions online or by completing the **Automatic Investment Plan/Electronic Bank Transfer Form**.
- If the existing Account contains any CDs, they will be liquidated, a penalty for early withdrawal may be applied, and a new CD purchased at the interest rate in effect on the day of the purchase. The term of the CD will remain the same, and will start on the day of the purchase of the new CD. (For example, the proceeds of a 3-year CD redeemed because of a transfer of Account ownership will purchase another 3-year CD, and the 3-year term will begin on the day the new CD is purchased.)
- This form must be notarized.
- This form requires the signature of the the New Account Owner and the existing Account Owner.

Forms can be downloaded from our website at www.CollegeAdvantage.com, or you can call us to order any form—or request assistance in completing this form—at **1-800-AFFORD-IT** (233-6734) Monday through Friday from 8:30 a.m. to 6 p.m. Eastern Time.

Return this form and any other required documents to:
CollegeAdvantage Direct 529 Savings Plan
P.O. Box 219305
Kansas City, MO 64121-9305

For overnight delivery or registered mail, send to:
CollegeAdvantage Direct 529 Savings Plan
920 Main Street, Suite 900
Kansas City, MO 64105

1. Existing Account Information

Existing Account Number

Existing Account Owner Name (First name) (Required)

(M.I.)

Existing Account Owner Name (Last name) (Required)

Existing Account Owner Social Security Number or Taxpayer Identification Number (Required)

Daytime Telephone Number (In case we have a question about your Account.)

Evening Telephone Number (In case we have a question about your Account.)



* OH ACCOUNT OWNER CHANGE *

4. Existing Account Owner Certification and Authorization

I hereby make the change in the Account Owner as indicated above. I certify that the information contained herein is true and correct, and that my newly-established Account Owner is a U.S. Citizen or resident alien. I certify that the Social Security Number or Taxpayer Identification Number (TIN) provided for the New Account Owner is correct and complete.

Print Name of Existing Account Owner (Required)

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Date (mm/dd/yyyy)

SIGNATURE

Signature of Existing Account Owner (Required)

Your signature must be notarized. See below. We cannot accept a signature guarantee in place of a notary's seal.

STATE OF _____)

)ss.:

COUNTY OF _____)

This document was acknowledged before me on _____ (date) by _____ (name of Account Owner), who certifies the correctness of the signature of the Account Owner.

SIGNATURE

Signature of Notary

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Date (mm/dd/yyyy)

Name of Notary (first, middle initial, last)

My commission expires:

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Date (mm/dd/yyyy)

(continued on next page)

Notary to place seal here

5. New Account Owner Signature (Required)

We must have your signature to process your Form and to certify your Social Security Number, which is located in **Section 2**. By signing below, I certify that:

- I AM AGREEING TO THE TERMS OF THE *OFFERING STATEMENT AND PARTICIPATION AGREEMENT* AND THE TERMS OF THIS FORM. I UNDERSTAND THAT I SHOULD CONSULT A FINANCIAL OR LEGAL ADVISOR IF I HAVE ANY QUESTIONS ABOUT THE TERMS AND CONDITIONS OF THIS AGREEMENT. MY SIGNATURE BELOW INDICATES I HAVE READ AND UNDERSTAND THE CURRENT *OFFERING STATEMENT AND PARTICIPATION AGREEMENT* FOR THE COLLEGEADVANTAGE DIRECT 529 SAVINGS PLAN OFFERED EXCLUSIVELY THROUGH THE OHIO TUITION TRUST AUTHORITY (TUITION TRUST), AND AGREE TO THE TERMS THEREIN AND HEREIN. THIS APPLICATION, TOGETHER WITH THE *OFFERING STATEMENT AND PARTICIPATION AGREEMENT*, CONSTITUTES MY CONTRACT WITH THE OHIO TUITION TRUST AUTHORITY WITH RESPECT TO AMOUNTS INVESTED IN THE PLAN.
- The information provided on this form is true and correct. I am either a U.S. citizen or resident alien. The Social Security Number in **Section 2** is correct.
- The Ohio Tuition Trust Authority is authorized to recognize only my signature below for the withdrawal of funds or transactions or any other business regarding this account until written notice to the contrary is received and accepted by the Tuition Trust.
- In accordance with federal law, I understand the CollegeAdvantage Program Administrators are required to obtain my name, residential or business address, Social Security or Tax Identification Number, driver's license or state-issued I. D. Card number, and date of birth in order to verify my identity and for tax reporting purposes. In addition, my signature below authorizes Ohio Tuition Trust Authority personnel to access my personal confidential information as necessary for the administration of my account. The information I provide may be shared with third parties for the purpose of verification subject to the terms of the CollegeAdvantage Administrators' privacy policies. The Ohio Tuition Trust Authority is unable to accept this new Account if any required information is not provided. If the CollegeAdvantage Program Administrators are unable to verify the Account Owner's identity, this account will be closed and the assets in the Account distributed at the share value.
- I understand that any investment in a CollegeAdvantage mutual fund-based Investment Option is not insured or guaranteed by the FDIC or any other governmental agency or other party, including the State of Ohio, the Ohio Tuition Trust Authority or any of the mutual fund firms under contract with OTTA. Any investment in a Fifth Third Investment Option, however, is insured by the FDIC, up to the limits set by the FDIC. An investment in a CollegeAdvantage mutual fund-based Investment Option is not a direct investment in a mutual fund itself. Except for the Fifth Third Investment Options, participants assume all investment risk of an investment in CollegeAdvantage, including the potential loss of principal. Regular investing does not ensure a profit or protect against a loss. The amount actually available for withdrawal will depend on the investment performance of the Investment Options chosen.

Note: If you select any of the Fifth Third Bank Investment Options, then your signature below, together with this application and the *Offering Statement and Participation Agreement*, which includes Fifth Third Bank's Rules, Regulations, Agreements and Disclosures, constitutes the Deposit Agreement between you and Fifth Third Bank.

Print Name of New Account Owner **(Required)**

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Date (mm/dd/yyyy)

Signature of New Account Owner **(Required)**