I, the undersigned recipient of an Ohio Tuition Trust Authority (OTTA) CollegeAdvantage Direct 529 Savings Plan College Savings Award (the “Award”) hereby acknowledge with my signature on this document:

1) I have been randomly selected to receive the Award in the amount of $529 and OTTA, sponsor of the CollegeAdvantage 529 Savings Program, will pay the Award amount directly to my existing CollegeAdvantage Direct 529 Savings Plan account (identified below); or to a new CollegeAdvantage Direct 529 Savings Plan account that I will open prior to distribution of this Award; and

2) OTTA has not taken into account my personal circumstances or other factors that may be important to making investment decisions and has advised me to consult with a financial and/or tax advisor about this Award and my personal financial and tax situation. I was not required to make any purchase or contribution to a CollegeAdvantage 529 Savings Plan to receive the Award and OTTA disclosed to me that any purchase or contribution would not increase my chances of winning; and

3) I (as the account owner) am responsible for any and all taxes related to the acceptance of this Award and OTTA will report to federal and state tax authorities the distribution of this Award and provide me with a Federal Form 1099-Misc if the total of this Award and any other awards given to me by OTTA during the calendar year 2015 total $600 or more; and

4) I have been further made aware by OTTA that receipt of this Award may impact future financial aid, including financial aid based on the Free Application for Federal Student Aid (FAFSA); and

5) The Award will be invested in a 529 plan which involves the purchase of municipal securities whose value will vary with market conditions. I acknowledge that investment returns will vary depending on the performance of the investments I have chosen within my CollegeAdvantage Direct 529 Savings Plan account (identified below). I acknowledge that I assume all investment risks and that depending on market conditions, I could lose all or a portion of the Award by investing it in my CollegeAdvantage Direct 529 Savings Plan account; and

6) I attest that I meet all eligibility requirements (including that I am not a resident of Rhode Island, New York, Florida or any country or state in which sweepstakes in general or this Award in particular are prohibited) contained in and am bound by all provisions of the official rules attached hereto as Exhibit A and incorporated by reference herein; and

7) I understand that I will forfeit this Award if I do not provide OTTA with a completed and signed version of this document and a completed and signed W-9 federal within 15 business days from the day that I receive notification of this Award.

My instructions for deposit of the Award:

☐ Please deposit the College Savings Award to my existing CollegeAdvantage Direct 529 Savings Plan:

My Account Number: ____________________________     Name of My Beneficiary: ____________________________

☐ I will forfeit this Award if I do not open a new CollegeAdvantage Direct 529 Savings Plan account within the Deadline stated in the Rules. Direct Plan Application forms are available at www.CollegeAdvantage.com or I can enroll online and provide my new account number to OTTA on this form. This Award will be used as my opening contribution and will be applied to my new account by OTTA, and I do not have to make a minimum contribution of $25 in order to complete the enrollment.

Acknowledged and attested to this _________ day of _____________, 20____:

(day)                            (month)                 (year)

RECIPIENT OF COLLEGEADVANTAGE COLLEGE SAVINGS AWARD:

Signature:   ______________________________________________

Printed Name:   ______________________________________________

RETURN TO: Ohio Tuition Trust Authority, Marketing, 35 E. Chestnut St., 8th Floor, Columbus, OH 43215-2541 1-800-233-6734

FOR OTTA USE ONLY: Giveaway Campaign: Reds Heads and Reds Rookies 2016 Membership voucher books Date: 11/01/15 – 10/31/16
Exhibit A

Reds Heads and Reds Rookies CollegeAdvantage College Savings Award ("REDS16") Giveaway
November 1, 2015 through 11:59 p.m. on October 31, 2016

Sweepstakes Rules

VOID in Florida, New York, Rhode Island, and where prohibited.

1. **Certification of Entrant:** By entering the REDS16 CollegeAdvantage College Savings Giveaway (the “Sweepstakes”), you agree to be bound by these Sweepstakes Rules and acknowledge that you have complied with these Sweepstakes Rules, and are an eligible individual as described in item five (5) below.

2. **No purchase required:** No purchase or contribution to a CollegeAdvantage 529 Savings Plan is necessary to enter. No purchase or contribution will increase your chances of winning.

3. **Required information to enter:** You must provide your first and last name, valid mailing address, valid e-mail address, and valid group code (from the coupon in your Reds Heads Member Scorebook or Reds Rookies Member voucher book) to enter.

4. **Number of entries allowed:** One (1) entry per e-mail address throughout the duration of the Sweepstakes.

5. **Eligibility:** You must be a legal resident of the fifty (50) United States and District of Columbia, be at least eighteen (18) years of age and have a social security number or tax identification number to enter or win. Additionally, you must be a Cincinnati Reds Heads Club member or a Cincinnati Reds Rookies Club member with active membership both at the time of entry and time of claiming the Award (as defined below). Employees and Board members, and the immediate family members of each, of the Ohio Tuition Trust Authority ("OTTA") and the Ohio Department of Higher Education, and the Cincinnati Reds (the "Reds") are not eligible for the Sweepstakes. Immediate family members are defined as parents, children, spouses or siblings and their respective spouses, regardless of where they reside, and those living in the same households, whether or not related.

6. **How to enter:** Eligible residents may enter the sweepstakes by completing the official CollegeAdvantage Sweepstakes Entry Form on the CollegeAdvantage website: [www.CollegeAdvantage.com/REDS16](http://www.CollegeAdvantage.com/REDS16). All required information must be provided on the entry form (name, address, email, group code) and must be valid. All entrants will receive a CollegeAdvantage Kit and may elect to receive the kit by mail or download.

7. **Enter by mail:** Eligible Reds Heads and Reds Rookies Club member may, as an alternative, mail a 4”x 6” postcard with your first name, last name, mailing address, Group Code, and a valid e-mail address to: Ohio Tuition Trust Authority, REDS16 Giveaway, 35 E. Chestnut Street, 8th Floor, Columbus, OH 43215. Mailed entries must be postmarked by October 20th, 2016 in order to be included in the 5th and final drawing only. Limit one entry per stamped outer envelope.

8. **Deadline:** Deadline for entry online is 11:59 p.m. ET on Sunday, October 31th, 2016.

9. **Drawing dates:** Random drawings will be held by the Ohio Tuition Trust Authority ("OTTA") on four occasions throughout the year, following the schedule outlined below:

   - One (1) Winner from all entries received from November 1, 2015 through January 31, 2016, drawing date to be held within fifteen (15) business days of January 31, 2016.
   - One (1) Winner from all entries received from November 1, 2015 through April 30, 2016, drawing date to be held within fifteen (15) business days of April 30, 2016.
   - One (1) Winner from all entries received from November 1, 2015 through July 31, 2016, drawing date to be held within fifteen (15) business days of July 31, 2016.
Two (2) Winners from all entries received from November 1, 2015 through October 31, 2016, drawing date to be held within fifteen (15) business days of October 31, 2016.

10. Number of winners and Award amount: One (1) winner (“Winner”) will be selected to receive a $529 CollegeAdvantage 529 Direct Plan College Savings Award (each an “Award”) in each drawing, for a total of five (5) Winners throughout the length of the Sweepstakes. A Winner can only receive one (1) Award as a part of this Sweepstakes, so once a Winner has been selected, that individual will be excluded from subsequent drawings during this Sweepstakes. The Reds may also provide various prizes to entrants of the Sweepstakes, in the Reds’ sole discretion. OTTA bears no responsibility and shall have no liability whatsoever with respect to prizes awarded by the Reds, and all such responsibility shall belong to the Reds, including but not limited to responsibility and liability regarding how winners of the Reds’ prizes are selected, what, if any, prizes are awarded, and any required tax reporting to recipients of the prizes and/or to the IRS.

11. Winner notification method and date: Winners will be contacted by e-mail (or phone if a phone number is provided with the Winner’s entry) (“Notification”) no later than fifteen (15) business days from January 31, 2016 (for the first drawing), April 30, 2016 (for the second drawing), July 31, 2016 (for the third drawing) or October 31, 2016 (for the fourth drawing).

12. Requirements for Award to be made: Before the Award can be made, the Winner must, within fifteen (15) business days of Notification (the “Deadline”), perform the following tasks:
   - Sign and return to OTTA a completed W-9 tax form and a Recipient Acknowledgement of Terms of Award and Release form, both forms to be provided by OTTA, and
   - Identify at least one (1) beneficiary, but not more than six (6) beneficiaries, to receive the Award, and
   - Have, or establish a CollegeAdvantage Direct 529 Savings Plan account, with a designated Beneficiary and provide their eleven (11) digit account number to OTTA via a completed Recipient Acknowledgement of Terms of Award and Release form. If establishing a new CollegeAdvantage Direct 529 Savings Plan account, no initial contribution from the Winner is necessary (the Award will constitute the initial contribution). If contributing to and/or establishing multiple CollegeAdvantage Direct 529 Savings Plan accounts, the Winner must designate how the Award is distributed across said accounts.

13. Forfeit of Award: If a Winner cannot be contacted using the e-mail address provided with the Winner’s entry (or phone number if provided with the Winner’s entry) or does not respond after reasonable attempts and after the Deadline to claim the Award, OTTA will select a new Winner. A Winner forfeits any claim to the Award if OTTA has not received the information and documentation required in item 12 above via mail, e-mail, or fax within fifteen (15) business days of Notification.

14. Public announcement of Winners: All Award Winners will be announced no later than November 30th, 2016, on the CollegeAdvantage web site (blog), Facebook page and Twitter feed. Any person may also receive a list of Winners by sending a self-addressed, stamped envelope to: Ohio Tuition Trust Authority, REDS16 Giveaway, 35 E. Chestnut Street, 8th Floor, Columbus, OH 43215. Such request must be postmarked no later than November 21st, 2016.

15. Publicity Release: By entering this Sweepstakes you agree, except where prohibited, to have your name, address (city and state), photograph, voice and/or other likeness and Award information used for advertising, trade and promotional purposes and without further compensation, in all media now known or hereafter discovered, in any manner, worldwide in perpetuity without notice or review or approval at the discretion of OTTA. Any such information is being collected solely by OTTA and the Reds, and not by Facebook or any other person or entity.

16. Permission for Communications and Privacy Policy: By entering this Sweepstakes, you agree to receive information from OTTA about the CollegeAdvantage Program and from the Reds via mail, e-mail and any other method of communication, including but not limited to a CollegeAdvantage Kit to be received by mail or online download. Any information collected during this Sweepstakes is being collected by OTTA and the
Reds, and not by Facebook or any other person or entity. OTTA does not share, other than with the Reds, as hereby disclosed, or sell any of its lists of contact information with or to third parties.

17. **Facebook Release.** This Sweepstakes is being conducted solely by OTTA and is not sponsored, endorsed or administered by, or associated with, Facebook. By entering this Sweepstakes you hereby release Facebook from any and all liability related to your participation in this Sweepstakes.

18. **Reservation of Rights.** OTTA reserves the right to cancel or modify the terms of the Sweepstakes at any time, for any reason, with or without notice to Sweepstakes entrants, and by participating in the Sweepstakes, you agree to be bound by such cancellation and/or modification.

19. **Limitations of Liability; Forum:** OTTA is not responsible for lost, late, incomplete, inaccurate, stolen, delayed, misdirected, undelivered, or garbled notifications, mail or entries or postage-due mail; or for lost, interrupted or unavailable network, server, Internet Service Provider (“ISP”), website or other connections, availability or accessibility or miscommunications or failed computer, satellite, telephone or cable transmissions, lines, or technical failure or jumbled, scrambled, delayed, or misdirected transmissions or computer hardware or software malfunctions, failures or difficulties, or other errors or difficulties of any kind whether human, mechanical, electronic, computer, network, typographical, printing or otherwise relating to or in connection with the Sweepstakes, including, without limitation, errors or difficulties which may occur in connection with the administration of the Sweepstakes, the processing of entries, the announcement of the prizes or in any Sweepstakes-related materials. OTTA is also not responsible for any incorrect or inaccurate information, whether caused by site users, tampering, hacking or by any equipment or programming associated with or utilized in the Sweepstakes. OTTA is not responsible for injury or damage to an entrant’s or any other person’s computer related to or resulting from participating in this Sweepstakes or downloading materials from or use of the website. Entrants, by participating, agree that OTTA, the Ohio Board of Regents and the State of Ohio or any agency or instrumentality thereof will have no liability whatsoever for, and shall be held harmless by entrants against, any liability, for any injuries, losses or damages of any kind, including, without limitation, death, to persons, or property resulting in whole or in part, directly or indirectly, from acceptance, possession, misuse or use of an Award or participation in this Sweepstakes. By entering this Sweepstakes, you hereby submit to the exclusive jurisdiction of the courts of Franklin County, Ohio.

20. **Disclaimers:** This Sweepstakes is subject to all applicable federal, state and local laws. **Void in Florida, New York, Rhode Island and where prohibited.** Odds may vary based on the number of eligible entries received. Persons who tamper with, hack, manipulate or abuse any aspect of the Sweepstakes or website, or who attempt to do the same may be subject to prosecution, and Persons engaging in any of the foregoing activities or who are in violation of these Sweepstakes Rules, as solely determined by OTTA, will be disqualified and all associated entries will be void. OTTA reserves the right, at its sole discretion, to suspend, modify or terminate the Sweepstakes should any portion of the Sweepstakes be, in OTTA’s sole opinion, compromised by virus, worms, bugs, non-authorized human intervention or other causes which, in the sole opinion of OTTA, corrupt or impair the administration, security, fairness or proper play, or submission of entries. If terminated, OTTA may, at its discretion, select the potential Winners for the drawing at issue from all eligible, non-suspect entries received for such drawing prior to action taken or as otherwise deemed fair and appropriate by OTTA. OTTA is not responsible for typographical or printing errors in these Sweepstakes Rules or in any other documents related to this Sweepstakes. Standard data charges from your mobile carrier may apply if entering this Sweepstakes on a mobile device. In the event of a dispute regarding entries received from multiple users having the same e-mail account, the authorized subscriber of the e-mail account at the time of entry will be deemed to be the entrant and must comply with these rules. Authorized account subscriber is the natural person who is assigned the e-mail address by the ISP, on-line service provider, or other organization responsible for assigning e-mail addresses. This Sweepstakes does not take into account an entrant or Winner’s personal circumstances or other factors that may be important in making investment decisions. When you invest in the CollegeAdvantage 529 Direct Plan, you are purchasing municipal fund securities whose value will vary with market conditions. Investment returns will vary
depending upon the performance of the portfolios in the Plan you choose. Depending on market conditions, you could lose all or a portion of your money, including but not limited to the Award, by investing in the CollegeAdvantage 529 Direct Plan. Account owners assume all investment risks as well as responsibility for any federal, state or local tax consequences. Each Winner should consult his or her own tax advisor about tax implications, if any, associated with contributions and/or withdrawals from the Winner’s CollegeAdvantage 529 Direct Plan account. The Award may be considered income, and any and all taxes associated with a prize are the responsibility of the Winner. The Approximate Retail Value (“ARV”) per Award is $529. Any prizes awarded by the Reds may have varying values, and all tax reporting with respect to such prizes is the sole responsibility of the Reds. Winners may not substitute, assign or transfer an Award, but OTTA reserves the right, at its sole discretion, to substitute a prize of comparable or greater value. All Award details (not including prizes awarded by the Reds) are at OTTA’s sole discretion. Limit one (1) Award per person/household.